

REPORT OF TRUST AND CAPITAL RESERVE FUNDS

\$3,610,366.24

Please insert the total of ALL funds here

Town/City of: Swanzey for Year Ended: December 31, 2012

CERTIFICATE	
Under penalties of perjury, I declare that I have examined the information contained in this form and to the best of my belief it is true, correct and complete.	
Steven D. Bittel <u>S Bittel</u>	Signed by the Trustees of Trust Funds on this date <u>2/22/13</u>
Edward Morenz <u>E Morenz</u>	
Richard A. Scaramelli <u>R Scaramelli</u>	
Print and sign	

REMINDERS FOR TRUSTEES

1. **SIGNATURES** - Print and sign on lines provided above.
2. **INVESTMENT POLICY** - RSA 31:25 requires the trustees to adopt an investment policy and review and confirm this policy at least annually. A copy of this policy must be filed with the Director of Charitable Trusts (RSA 31:25, 34:5, 35:9).
3. **PROFESSIONAL BANKING AND BROKERAGE ASSISTANCE** - RSA 31:38-a enables you to have a professional banking or brokerage firm assist you in performing your trustee duties. Refer to the law for further information. Attributable expenses may be charged against the trust fund involved, however, please be advised the fees can be taken from income only and not from principal.
4. **WEB SITE** - A trustee handbook can be down loaded from the web site for the Attorney General's Charitable Trust Division. www.doj.nh.gov/charitable
5. **FAIR VALUE** - Fold and complete page 4 to disclose the fair value (market value) of principal only. This information may be obtained from financial publications or from your professional banker or broker.
6. **CAPITAL RESERVE FUND** - Must be kept in a separate account and not intermingled with any other funds of the municipality (RSA 35:9).
7. **WHEN and WHERE TO FILE** - By March 1 if filing for a calendar year and by September 1 if filing for optional fiscal year. See addresses on page 4 of this form. If you hold funds for the school, the school business administrator will also need a copy for the school's financial report.

FOR DRA USE ONLY

State of New Hampshire
 Department of Revenue Administration
 Municipal Services Division
 PO Box 487, Concord, NH 03302-0487
 (603) 230-5090

MS-9 December 31, 2012

TOWN of SWANZEY CAPITAL RESERVE and EXPENDABLE TRUST FUNDS

DATE OF CREATION	NAME OF FUND	PURPOSE	HOW INVESTED	PRINCIPAL FUND				
				12/31/11		NEWLY CREATED	TRANSFERS & WITH-DRAWALS	COST BALANCE 12/31/12
				ALLOCA-TIONS	COST BALANCE			
Capital Reserve Funds:								
3/1/88	Conservation Land Acquisitions	Acquire land/easements	PDIP & ICS	5.5994%	\$ 165,000.00	\$ 50,000.00	\$ -	\$ 215,000.00
12/19/91	Covered Bridges (Restoration and Repair)	Maintenance/improvements	PDIP & ICS	2.2455%	35,000.00	5,000.00	-	40,000.00
3/2/78	Document Preservation/Restoration	Archive preservation	PDIP & ICS	0.1033%	-	-	-	-
3/1/73	Fire Trucks	Purchase/replace	PDIP & ICS	3.0021%	107,553.01	80,000.00	(39,145.78)	148,407.23
3/1/88	Future Fire Station	Construct new station	PDIP & ICS	11.4784%	100,000.00	-	-	100,000.00
3/1/86	Highway and Cemetery Equipment	Heavy equip purch./maint.	PDIP & ICS	7.6925%	275,255.30	85,000.00	(245,610.55)	114,644.75
11/17/94	Insurance Deductible Fund	To pay deductible liability	PDIP & ICS	0.1112%	-	-	-	-
3/13/12	Municipal Transportation	Fund road improvements	PDIP & ICS	0.0000%	-	33,660.00	-	33,660.00
12/26/95	North Swanzey Water & Fire Pct. - Equipment	Purchase/replace	PDIP & ICS	0.5850%	12,000.00	3,000.00	-	15,000.00
12/21/92	North Swanzey Water & Fire Pct. - Lines	Line maint./improvements	PDIP & ICS	2.5030%	20,800.00	5,200.00	-	26,000.00
12/12/83	Police Cruisers	Purchase/replace	PDIP & ICS	0.3255%	-	-	-	-
3/1/88	Recreation Facilities Improvements	Enhance facilities	PDIP & ICS	2.6560%	37,500.00	7,500.00	-	45,000.00
12/12/96	Recycling Center Improvements	Enhance facilities	PDIP & ICS	2.5060%	30,000.00	-	-	30,000.00
12/14/06	Sewer Commission (North)	Purchase/replace equipment	PDIP & ICS	4.0870%	100,000.00	25,000.00	-	125,000.00
3/24/86	Sewer Commission (West)	Repair/replace bldg/lines/equip.	PDIP & ICS	6.8888%	104,000.00	20,000.00	-	124,000.00
12/20/90	Sewer Line Extensions (West)	Extend service lines	PDIP & ICS	2.3694%	-	-	-	-
12/15/97	Town Bridges (Other than Covered)	Restore/maintain	PDIP & ICS	7.3844%	122,500.00	35,000.00	-	157,500.00
3/1/88	Town Hall Expansion	Expansion/reconfiguration	PDIP & ICS	2.5761%	-	-	-	-
3/1/95	Town-Owned Dams	Maintenance/improvements	PDIP & ICS	7.9748%	-	-	-	-
				<u>70.0883%</u>	<u>1,109,608.31</u>	<u>349,360.00</u>	<u>(284,756.33)</u>	<u>1,174,211.98</u>

MS-9 December 31, 2012

TOWN of SWANZEY CAPITAL RESERVE and EXPENDABLE TRUST FUNDS

NAME OF FUND	INCOME FUND				12/31/12		
	BALANCE 12/31/11	INTEREST INCOME	WITH- DRAWALS	TRANS- FERS	BALANCE 12/31/12	TOTAL FUNDS	ALLOCA- TIONS
Capital Reserve Funds:							
Conservation Land Acquisitions	\$ 35,641.24	\$ 940.98	\$ -	\$ -	\$ 36,582.22	\$ 251,582.22	6.9683%
Covered Bridges (Restoration and F	45,462.97	377.36	-	-	45,840.33	85,840.33	2.3776%
Document Preservation/Restoratio	3,700.76	17.36	-	-	3,718.12	3,718.12	0.1030%
Fire Trucks	20.04	504.50	(39,670.25)	39,145.78	0.07	148,407.30	4.1106%
Future Fire Station	311,302.08	1,928.95	-	-	313,231.03	413,231.03	11.4457%
Highway and Cemetery Equipment	388.89	1,292.73	(247,292.00)	245,610.55	0.17	114,644.92	3.1754%
Insurance Deductible Fund	3,982.86	18.68	-	-	4,001.54	4,001.54	0.1108%
Municipal Transportation	-	18.61	-	-	18.61	33,678.61	0.9328%
North Swanzey Water and Fire Equ	8,963.16	98.31	-	-	9,061.47	24,061.47	0.6665%
North Swanzey Water and Fire Line	68,891.30	420.64	-	-	69,311.94	95,311.94	2.6400%
Police Cruisers	11,662.70	54.70	-	-	11,717.40	11,717.40	0.3245%
Recreation Facilities Improvements	57,671.80	446.34	-	-	58,118.14	103,118.14	2.8562%
Recycling Center Improvements	59,796.86	421.14	(4,600.00)	-	55,618.00	85,618.00	2.3714%
Sewer Commission (North)	46,448.79	686.83	-	-	47,135.62	172,135.62	4.7678%
Sewer Commission (West)	142,845.15	1,157.67	-	-	144,002.82	268,002.82	7.4231%
Sewer Line Extensions (West)	84,903.36	398.19	-	-	85,301.55	85,301.55	2.3627%
Town Bridges (Other than Covered	142,104.93	1,240.96	-	-	143,345.89	300,845.89	8.3328%
Town Hall Expansion	92,308.48	432.91	-	-	92,741.39	92,741.39	2.5688%
Town-Owned Dams	285,759.32	1,340.17	-	-	287,099.49	287,099.49	7.9521%
	<u>1,401,854.69</u>	<u>11,797.03</u>	<u>(291,562.25)</u>	<u>284,756.33</u>	<u>1,406,845.80</u>	<u>2,581,057.78</u>	<u>71.4902%</u>

MS-9 December 31, 2012

TOWN of SWANZEY CAPITAL RESERVE and EXPENDABLE TRUST FUNDS

DATE OF CREATION	NAME OF FUND	PURPOSE	HOW INVESTED	PRINCIPAL FUND					
				12/31/11		NEWLY CREATED	TRANSFERS & WITH- DRAWALS	COST BALANCE 12/31/12	
				ALLOCA- TIONS	COST BALANCE				
Expendable Trust Funds:									
12/19/02	Carpenter Home	Subsidize operations	PDIP & ICS	0.7660%	\$ -	\$ -	\$ -	\$ -	
3/24/99	Cemetery Expansion Fund	Develop additional space/maint.	PDIP & ICS	1.7382%	22,400.00	4,650.00	-	27,050.00	
4/3/78	Covered Bridges	Preservation & Beautification	PDIP & ICS	0.0723%	-	-	-	-	
12/19/02	Emergency Communications	Purchase communication equip.	PDIP & ICS	0.9433%	20,000.00	22,500.00	(5,779.21)	36,720.79	
12/5/86	Emergency Mgmt. Facilities and Equip.	Maintenance/improvements	PDIP & ICS	0.6249%	5,000.00	5,000.00	-	10,000.00	
11/17/94	Fire Pond Fund	Maintenance/improvements	PDIP & ICS	0.7410%	-	-	-	-	
12/1/05	Fire/Rescue Tools and Equipment	Purchase/replace equipment	PDIP & ICS	2.1184%	75,000.00	20,000.00	(65,514.24)	29,485.76	
12/1/05	Fire Stations and Related Facilities	Improve/maintain	PDIP & ICS	0.1715%	6,145.38	-	(4,218.97)	1,926.41	
3/31/94	Mt. Caesar Union Library	Maintenance & Improvements	PDIP & ICS	0.2228%	7,982.60	2,000.00	-	9,982.60	
12/28/09	North Swanzey Water & Fire Pct. - Meters/Equip.	Purchase of devices	PDIP & ICS	1.2580%	45,000.00	15,000.00	-	60,000.00	
12/27/04	North Swanzey Water & Fire Pct. - Rate Stabilizat'n	Offset future rate increases	PDIP & ICS	2.1550%	-	-	-	-	
3/9/93	Police Facilities	Maintenance/improvements	PDIP & ICS	0.1655%	5,929.88	5,000.00	-	10,929.88	
12/31/09	Police Information Management System	Purch equip./software/services	PDIP & ICS	0.1958%	7,000.00	7,500.00	-	14,500.00	
12/14/04	Public Works Facilities	Maintenance/improvements	PDIP & ICS	2.6454%	50,000.00	10,000.00	-	60,000.00	
12/14/01	Revaluations and Updates	Offset costs	PDIP & ICS	3.2398%	116,091.70	-	(54,953.63)	61,138.07	
3/1/94	Stratton Free Library	Maintenance/improvements	PDIP & ICS	0.5435%	8,000.00	2,000.00	-	10,000.00	
12/1/05	Town Hall Repairs, Maint. & Improvem'ts	Maintenance/improvements	PDIP & ICS	2.8581%	40,000.00	35,000.00	-	75,000.00	
12/14/01	Town Hall Information Management Sys.	Purch equip./software/services	PDIP & ICS	2.5691%	37,500.00	7,500.00	-	45,000.00	
3/1/89	Town Roads Rehab. and Reconstruction	Major reconstruction	PDIP & ICS	5.8728%	210,440.66	145,000.00	(159,964.03)	195,476.63	
12/14/01	Whitcomb Hall Rehabilitation	Rehabilitation of building	PDIP & ICS	1.0103%	-	-	-	-	
N.A.	Exchange Account	To open CRB DDA A/C	Cash	n.a.	-	-	-	-	
					<u>29.9117%</u>	<u>656,490.22</u>	<u>281,150.00</u>	<u>(290,430.08)</u>	<u>647,210.14</u>
Total Funds					<u>100.0000%</u>	<u>\$ 1,766,098.53</u>	<u>\$ 630,510.00</u>	<u>\$ (575,186.41)</u>	<u>\$ 1,821,422.12</u>

MS-9 December 31, 2012

TOWN of SWANZEY CAPITAL RESERVE and EXPENDABLE TRUST FUNDS

NAME OF FUND	INCOME FUND				12/31/12		
	BALANCE 12/31/11	INTEREST INCOME	WITH- DRAWALS	TRANS- FERS	BALANCE 12/31/12	TOTAL FUNDS	ALLOCA- TIONS
Expendable Trust Funds:							
Carpenter Home	\$ 27,447.69	\$ 128.73	\$ -	\$ -	\$ 27,576.42	\$ 27,576.42	0.7638%
Cemetery Expansion Fund	39,885.34	292.11	(10,000.00)	-	30,177.45	57,227.45	1.5851%
Covered Bridges	2,590.23	0.28	(2,590.51)	-	-	-	0.0000%
Emergency Communications	13,801.15	158.52	(19,738.86)	5,779.21	0.02	36,720.81	1.0171%
Emergency Mgmt. Facilities and Eq	17,393.48	105.02	-	-	17,498.50	27,498.50	0.7617%
Fire Pond Fund	26,550.88	124.52	-	-	26,675.40	26,675.40	0.7389%
Fire/Rescue Tools and Equipment	908.82	356.00	(66,779.00)	65,514.24	0.06	29,485.82	0.8167%
Fire Stations and Related Facilities	-	28.82	(4,247.79)	4,218.97	0.00	1,926.41	0.0534%
Mt. Caesar Union Library	-	37.44	-	-	37.44	10,020.04	0.2775%
North Swanzey Meter & Reading D	75.91	211.40	-	-	287.31	60,287.31	1.6698%
North Swanzey Rate Stabilization F	77,218.59	362.14	(6,564.00)	-	71,016.73	71,016.73	1.9670%
Police Facilities	-	27.81	-	-	27.81	10,957.69	0.3035%
Police Information Management Sy	14.47	32.90	-	-	47.37	14,547.37	0.4029%
Public Works Facilities	44,792.66	444.57	-	-	45,237.23	105,237.23	2.9149%
Revaluations and Updates	-	544.45	(55,498.00)	54,953.63	0.08	61,138.15	1.6934%
Stratton Free Library	11,475.80	91.34	-	-	11,567.14	21,567.14	0.5974%
Town Hall Repairs, Maint. & Impro	62,414.38	480.31	(2,307.45)	-	60,587.24	135,587.24	3.7555%
Town Hall Information Managemer	54,558.06	431.74	-	-	54,989.80	99,989.80	2.7695%
Town Roads Rehab. and Reconstru	-	986.94	(160,950.81)	159,964.03	0.16	195,476.79	5.4143%
Whitcomb Hall Rehabilitation	36,202.37	169.78	-	-	36,372.15	36,372.15	1.0074%
Exchange Account	-	-	-	-	-	-	n.a.
	<u>415,329.83</u>	<u>5,014.83</u>	<u>(328,676.42)</u>	<u>290,430.08</u>	<u>382,098.32</u>	<u>1,029,308.46</u>	<u>28.5098%</u>
Total Funds	<u>\$ 1,817,184.52</u>	<u>\$ 16,811.86</u>	<u>\$ (620,238.67)</u>	<u>\$ 575,186.41</u>	<u>\$ 1,788,944.12</u>	<u>\$ 3,610,366.24</u>	<u>100.0000%</u>

REPORT OF TRUST AND CAPITAL RESERVE FUNDS

Town/City of: Swansey for Year Ended: December 31, 2012

CERTIFICATE

Under penalties of perjury, I declare that I have examined the information contained in this form and to the best of my belief it is true, correct and complete.

Steven D. Bittel

S Bittel

Signed by the Trustees of Trust Funds

Edward Morenz

E Morenz

on this date

2/21/13

Richard A. Scaramelli

R Scaramelli

Print and sign

REMINDERS FOR TRUSTEES

- 1. SIGNATURES** - Print and sign on lines provided above.
- 2. INVESTMENT POLICY** - RSA 31:25 requires the trustees to adopt an investment policy and review and confirm this policy at least annually. A copy of this policy must be filed with the Director of Charitable Trusts (RSA 31:25, 34:5, 35:9).
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December 31, 2012

of SWANZEY

RESERVE and EXPENDABLE TRUST FUNDS

INVESTMENT	PRINCIPAL FUND					COST BALANCE 12/31/12
	COST BALANCE 12/31/11	PURCHASES (SALES)	GAINS (LOSSES)	NEWLY CREATED	TRANSFERS	
Equities:						
None	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Equity Investments	-	-	-	-	-	-
Bonds:						
None	-	-	-	-	-	-
Total Bond Investments	-	-	-	-	-	-
Cash and Equivalents:						
PDIP	1,738,398.53	(3,545,783.05)	-	-	-	(1,807,384.52)
Conn. River Bank ICS *	-	3,573,288.50	-	630,510.00	(575,186.41)	3,628,612.09
Conn. River Bank - DDA	-	194.55	-	-	-	194.55
Deposit in Transit	27,700.00	(27,700.00)	-	-	-	-
Total Cash and Equivalents	<u>1,766,098.53</u>	<u>0.00</u>	<u>-</u>	<u>630,510.00</u>	<u>(575,186.41)</u>	<u>1,821,422.12</u>
Total Funds	<u>\$ 1,766,098.53</u>	<u>\$ 0.00</u>	<u>\$ -</u>	<u>\$ 630,510.00</u>	<u>\$ (575,186.41)</u>	<u>\$ 1,821,422.12</u>

* Insured Cash Sweep account that invests in participating banks' money market savings accounts up to the FDIC \$250,000 limit.

MS-10 December 31, 2012
TOWN of SWANZEY
CAPITAL RESERVE and EXPENDABLE TRUST FUNDS

	INCOME FUND						12/31/12		
	COST BALANCE 12/31/11	PURCHASES (SALES)	INTEREST INCOME	EXPENSES	EXPENDED	TRANSFERS	COST BALANCE 12/31/12	TOTAL INCOME & PRINC. FUNDS	FAIR VALUE 12/31/12
Equities:									
None	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Equity Investments	-	-	-	-	-	-	-	-	-
Bonds:									
None	-	-	-	-	-	-	-	-	-
Total Bond Investments	-	-	-	-	-	-	-	-	-
Cash and Equivalents:									
PDIP	1,817,184.52	-	210.49	-	(2,590.51)	-	1,814,804.50	7,419.98	7,419.98
Conn. River Bank ICS *	-	-	16,601.37	-	(617,648.16)	575,186.41	(25,860.38)	3,602,751.71	3,602,751.71
Conn. River Bank - DDA	-	-	-	-	-	-	-	194.55	194.55
Deposit in Transit	-	-	-	-	-	-	-	-	-
Total Cash and Equivalents	<u>1,817,184.52</u>	<u>-</u>	<u>16,811.86</u>	<u>-</u>	<u>(620,238.67)</u>	<u>575,186.41</u>	<u>1,788,944.12</u>	<u>3,610,366.24</u>	<u>3,610,366.24</u>
Total Funds	<u>\$ 1,817,184.52</u>	<u>\$ -</u>	<u>\$ 16,811.86</u>	<u>\$ -</u>	<u>\$ (620,238.67)</u>	<u>\$ 575,186.41</u>	<u>\$ 1,788,944.12</u>	<u>\$ 3,610,366.24</u>	<u>\$ 3,610,366.24</u>